

Table 2
Procedural Safeguards
Complaints, Mediations, and Due Process Hearings

Activity Identifier	Date of Receipt	Date of Final Copy of Decision Provided to Disputant(s)	Discipline involved	Issues	Resulting Findings/Decisions	As Needed, Corrective Actions to Achieve Compliance
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Complaints

004-2001	9-10-2001		Service Coordinator	303.23 470 IAC 3.1-10-2 Service Coordination: Lack of adequate Service Coordination activities and the facilitation of transition out of early intervention.	Provider was dis-enrolled from the system due to lack of SC activities	Dis-enrollment
005-2002	2-8-2002	3-1-2002	Service Coordinator	303.23 470 IAC 3.1-9-1 470 IAC 3.1-10-2 Service Coordination: Lack of adequate Service Coordination activities and the facilitation of transition out of early intervention.	Provider was dis-enrolled from the system due to lack of SC activities	Dis-enrollment
006-2002	2-8-2002	3-1-2002	Service Coordinator	303.23 470 IAC 3.1-9-1 470 IAC 3.1-10-2 Service Coordination: Lack of adequate Service Coordination activities and the facilitation of transition out of early intervention.	Provider was dis-enrolled from the system due to lack of SC activities	Dis-enrollment
007-2002	4-24-02	5-28-02	Service Coordinator	303.23 470 IAC 3.1-9-1 470 IAC 3.1-10-2 Service Coordination: Lack of adequate Service Coordination activities and the facilitation of transition out of early intervention.	Provider was found to not conduct timely review of IFSP. Inadequate documentation to support the active provision of service coordination. During a 4 month time span.	Provider was required to attend additional training and was placed on probation. Subsequently, the provider voluntarily dis-enrolled.

There were no requests for Due Process or Mediation.